

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U91110MH1928GAP001391

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAATT3309D

(ii) (a) Name of the company

INDIAN INSTITUTE OF BANKING

(b) Registered office address

Kohinoor City, Commercial II Tower - 1, 2nd Floor,  
Kiroi Road, Kurla (West)  
Mumbai  
Maharashtra  
400070

(c) \*e-mail ID of the company

ceosec@iibf.org.in

(d) \*Telephone number with STD code

02268507095

(e) Website

www.iibf.org.in

(iii) Date of Incorporation

30/04/1928

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by guarantee	Guarantee and association company

(v) Whether company is having share capital

Yes

No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes

No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	P	Education	P4	Educational support services	85.82

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

ISIN of the equity shares of the company

**(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \***

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

604,709,015

**(ii) Net worth of the Company**

5,658,560,211

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	0	0
Members (other than promoters)	931,715	966,718
Debenture holders	0	0





## VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

### (A) \*Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	0	0	0	0	0	0
<b>B. Non-Promoter</b>	1	15	1	13	0	0
(i) Non-Independent	1	15	1	13	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	15	1	13	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 14

### (B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ZARIN BOMI DARUWA 	00034655	Director	0	
VIVEK DEEP	07053296	Additional director	0	28/06/2021
HARIDEESHKUMAR VI 	07167694	Director	0	
ATUL KUMAR GOEL	07266897	Director	0	
RAJKIRAN GUNDYADP 	07427647	Director	0	
SUNIL MEHTA	07430460	Director appointed	0	
SIVAKUMAR GOPALAN 	07537575	Director	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SMITA MILIND SANDH. +	07637529	Director	0	
MAVINAKUDIGE SUBR. +	07645317	Additional director	0	
BISWA KETAN DAS	08067282	Director	0	
VENKATA PRABHAKAR +	08110715	Additional director	0	
RANA ASHUTOSH KUMAR +	08949873	Director appointed	0	04/08/2021
DINESH KUMAR KHAR +	06737041	Director appointed	0	
BASKAR BABU RAMAN +	02303132	Additional director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

19

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Dr K L Dingra	00388194	Director	05/05/2020	Cessation
Smt. Malvika Sinha	08373142	Director	29/06/2020	Cessation
Shri Shyam Srinivasan	02274773	Director	05/09/2020	Cessation
Dr. Ajit Ranade	00918651	Director	05/09/2020	Cessation
Shri V G Mathew	05332797	Director	29/09/2020	Cessation
Dr J N Misra	06807266	Director	30/09/2020	Cessation
Shri Rajnish Kumar	05328267	Director	06/10/2020	Cessation
Dr. A S Ramasastry	06916673	Director	27/10/2020	Cessation
Smt Nanda Dave	08673208	Director	29/10/2020	Cessation
Shri Alok Kumar Chaudhary	08480476	Director	09/12/2020	Cessation
Shri Pallav Mohapatra	02300885	Director	26/02/2021	Cessation
Shri Biswa Ketan Das	08067282	CEO	01/10/2020	Appointment
Smt. Nanda Dave	08673208	Director	22/07/2020	Appointment
Shri. L V Prabhakar	08110715	Additional director	19/10/2020	Appointment
Shri. Vivek Deep	07053296	Additional director	29/10/2020	Appointment
Shri.Mahabaleshwara M	07645317	Additional director	20/11/2020	Appointment
Shri. Baskar Babu Ramani	02303132	Additional director	21/01/2021	Appointment
Shri.Dinesh Kumar Khanna	06737041	Director appointed	19/01/2021	Appointment
Shri.Rana Ashutosh Kumar	08949873	Director appointed	18/03/2021	Appointment

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	05/09/2020	931,715	128	

## B. BOARD MEETINGS

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	29/06/2020	15	13	86.67
2	27/07/2020	16	12	75
3	29/10/2020	12	8	66.67
4	18/03/2021	14	10	71.43

## C. COMMITTEE MEETINGS

Number of meetings held

2

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	CSR COMMIT	15/09/2020	4	4	100
2	CSR COMMIT	25/02/2021	3	3	100

## D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	ZARIN BOMI I	4	1	25	0	0	0	
2	VIVEK DEEP	2	1	50	0	0	0	
3	HARIDEESHK	4	4	100	2	2	100	
4	ATUL KUMAR	4	3	75	0	0	0	



5	RAJKIRAN GU	4	3	75	0	0	0	
6	SUNIL MEHTA	4	4	100	2	2	100	
7	SIVAKUMAR C	4	3	75	0	0	0	
8	SMITA MILIND	4	4	100	2	2	100	
9	MAVINAKUDI	1	0	0	0	0	0	
10	BISWA KETAN	2	2	100	1	1	100	
11	VENKATA PR	2	2	100	0	0	0	
12	RANA ASHUT	1	1	100	0	0	0	
13	DINESH KUM	1	1	100	0	0	0	
14	BASKAR BAB	1	1	100	0	0	0	

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dr J. N. Misra	CEO	8,692,810	0	0	0	8,692,810
2	Biswa Ketan Das	CEO	2,683,956	0	0	0	2,683,956
	Total		11,376,766	0	0	0	11,376,766

Number of other directors whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	DR.A.S RAMASASTH	DIRECTOR	0	0	0	14,000	14,000
2	DR. AJIT RANADE	DIRECTOR	0	0	0	42,000	42,000
3	BASKAR BABU RA	ADDITIONAL DI	0	0	0	7,000	7,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
4	SIVAKUMAR GOPA	DIRECTOR	0	0	0	31,000	31,000
5	SHYAM SRINIVAS	DIRECTOR	0	0	0	19,000	19,000
6	SMITA MILIND SAM	DIRECTOR	0	0	0	48,000	48,000
7	SUNIL MEHTA	DIRECTOR APP	0	0	0	67,000	67,000
	Total		0	0	0	228,000	228,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

D. A KAMAT & CO

Whether associate or fellow

Associate  Fellow

Certificate of practice number

4965

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

08067282

**To be digitally signed by**

Company Secretary

Company secretary in practice

Membership number

3843

Certificate of practice number

4965

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

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<b>Remove attachment</b>
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Modify
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Check Form
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Prescrutiny
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Submit
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

# *D. A. KAMAT & CO.*

## **Practicing Company Secretaries**

Office-B-208, Shreedham Classic, Next to St. John's Universal School, S V Road, Goregaon (W), Mumbai- 400 104.

Contact: +91-7208023169, +91-9029661169 | [office@csdakamat.com](mailto:office@csdakamat.com) | [www.csdakamat.com](http://www.csdakamat.com)

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### **Form No. MGT-8**

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]*

### **CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of **INDIAN INSTITUTE OF BANKING & FINANCE** (the Institute) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31<sup>st</sup> March 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to us by the institute, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Institute has complied with provisions of the Act & Rules made there under in respect of:
  - 1. The status of the Institute has not changed during the year;
  - 2. The Institute has maintained and made the necessary entries into the Statutory Records and Registers of the Company within the time prescribed therefore;
  - 3. The Institute has filed all the necessary e-forms and returns as stated in Annual Return with the Registrar of Companies;
  - 4. The Institute has duly called/ convened/ held meetings of Governing Council (Board Meeting) and the Meetings of the Members of the Institute on due dates as stated in the Annual Return in respect of which meetings, proper notices were given and the proceedings of the meetings have been properly recorded in the Minutes Book/ Registers maintained for the purpose and the same have been signed;
  - 5. The Institute has not closed its Register of Members during the year under review;
  - 6. The Institute has not advanced loans to its Council Member and/or persons or firms or companies referred to in Section 185 of the Act during the Financial year (after the applicability of this section);

# *D. A. KAMAT & CO.*

## **Practicing Company Secretaries**

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Office-B-208, Shreedham Classic, Next to St. John's Universal School, S V Road, Goregaon (W), Mumbai- 400 104.

Contact: +91-7208023169, +91-9029661169 | [office@csdakamat.com](mailto:office@csdakamat.com) | [www.csdakamat.com](http://www.csdakamat.com)

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7. The Institute has not undertaken any contract/arrangements with related parties as specified in Section 188 of the Act;
8. The Institute being a section 8 Company limited by Guarantee and not having share capital the provisions relating to issue of shares, issue of Bonus shares or buy back of securities or redemption of preference shares or/alteration or reduction of share capital/conversion of shares/securities, declaration of dividend are not applicable to the Institute;
9. The Institute has complied with signing of Audited Financial statements and Governing Council Report as per the applicable Companies Act, 2013 for Financial year ending 31st March, 2021;
10. During the year under review, the Governing Council (Board of Directors) of the Institute is duly constituted;
11. The Institute had appointed Statutory Auditor as per the Companies Act, 2013 at its 92nd Annual General Meeting of the Institute for the period of 05 years till the 97<sup>th</sup> Annual General Meeting to be held in the year 2024.
12. By virtue of being Section 8 Company limited by Guarantee, section 73 to 75 of the Companies Act, 2013 for accepting / renewal / repayment of Deposits is not applicable to the Institute.
13. By virtue of being Section 8 Company limited by Guarantee, section 123 of the Companies Act, 2013 pertaining to declaration of dividend is not applicable to the Institute.
14. During the year under review, the Institute has not undertaken any borrowings with respect to the provisions of section 179 and section 180 of the Companies Act, 2013 from any, public financial institutions, banks and others and creation/modification/satisfaction of charges;
15. The Institute has not granted any loans, made by investments or given guarantees or securities in compliance with the provisions of Section 186 of the Companies Act, 2013;

# *D. A. KAMAT & CO.*

## **Practicing Company Secretaries**

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Office-B-208, Shreedham Classic, Next to St. John's Universal School, S V Road, Goregaon (W), Mumbai- 400 104.

**Contact:** +91-7208023169, +91-9029661169 | [office@csdakamat.com](mailto:office@csdakamat.com) | [www.csdakamat.com](http://www.csdakamat.com)

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16. During the year under review there has been no alteration in the Articles and Memorandum of Association of the Institute;
17. During the year under the review, the Institute has confirmed that it was not required to obtain any approvals from statutory authorities under the provisions of the Companies Act, 2013.
18. In view of the continuing COVID-19 pandemic, the Ministry of Corporate Affairs vide its Circular dated April 8<sup>th</sup>, 2020, April 13<sup>th</sup>, 2020, May 05<sup>th</sup>, 2020 and December 20<sup>th</sup>, 2020 permitted holding of the Annual General Meeting through VC/OAVM, without the physical presence of the Members. In compliance with the provisions of the Companies Act, 2013 and MCA Circulars, the 94<sup>th</sup> Annual General Meeting of the Institute was held through VC/OAVM on September 04<sup>th</sup>, 2021.

Place: Mumbai  
Date:

Signature:  
Company Secretary in Practice : **D A Kamat**  
**D.A. Kamat & Co**  
**C.P. No.: 4965**